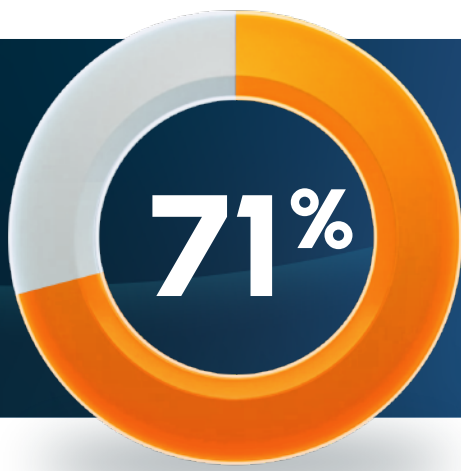


# Enable Compliant Communications Across Your Financial Services Organization

## Current State of the Financial Industry



of employees admit to sharing sensitive and business-critical company data using messaging and collaboration tools.<sup>1</sup>

## The Complexity of Regulatory Compliance Puts Organizations at Risk

62%

of surveillance professionals say there are potential gaps in the surveillance of their communications due to the proliferation of channels.<sup>2</sup>

\$1.8B

in fines to Wall Street firms for misuse and improper monitoring of WhatsApp communications in 2022 alone.<sup>1</sup>



56%

of organizations have experienced a cyber incident such as ransomware or data exfiltration that impacted their ability to meet data governance requirements.<sup>3</sup>

## Financial Data Management Essentials

REGULATORY COMPLIANCE	PRIVACY & PROTECTION	CYBERSECURITY RISKS	HIGH VOLUME DATA
Mitigate risk and meet regulatory compliance requirements.	Enforce data protection and security requirements.	Prevent threats to sensitive data with proactive data protection.	Capture, review, and store relevant content for compliance.

## Preserve and Monitor Communications for Compliance and Risk Mitigation



### Capture Content

Expand discovery capabilities across chat, email, social media, voice communications, and more.



### Archive Anywhere

Extend data archive reach with deployment options spanning on-prem, hybrid, multi-tenant SaaS, and more.



### Reduce Noise With AI

Identify and safeguard sensitive data using AI to target and apply appropriate controls.



### Identify Relevant Content

Conduct surveillance and surface critical data with machine learning intelligence.

## Arctera is the Leader in Data Compliance and Trusted Partner for Financial Services<sup>4</sup>



20/20

Largest Financial Services Companies



91%

of the Fortune 100<sup>5</sup>



20/20

Largest Commercial Banks



120+

Data Sources Captured



1,200+

Classification Patterns & Policies



AI & ML

Best-in-Class AI & Machine Learning



## Data Compliance & Governance Solutions



Arctera provides an integrated portfolio of compliance and governance solutions that synthesize intelligence across data sources to surface relevant information, deliver actionable insights, and reduce the risk of costly regulatory fines.

[Learn More](#)



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